## Elementary Band Theory

## Introduction

$\square$ How to distinguish between metal and semiconductor/insulator?What is the origin of positive Hall coefficients?
$\square$ Well, free electron theory of solids does not answer to these questions!A productive theory comes from band theory of solids

Syllabus: Kronig Penny model. Band Gap. Conductor, Semiconductor ( P and N type) and insulator. Conductivity of Semiconductor, mobility, Hall Effect. Measurement of conductivity (4 probe method) \& Hall coefficient

## Bloch Theorem



$$
V(x+a)=V(x)
$$

The plane wave solution $e^{i k x}$ for the wave functions of the free electron model go over for the periodic potential to solutions of the form $\psi(x)=u_{k}(x) e^{i k x}$ where $u_{k}(x)$ has the periodicity of the lattice (if the lattice has a periodicity $\left.a, u_{k}(x+a)=u_{k}(x)\right)$

$$
\psi(x) \text { : Bloch function }
$$

## Kronig-Penney Model



## Kronig-Penney Model

$$
\begin{align*}
& \prod^{\boldsymbol{V}(\boldsymbol{x})} \prod^{\boldsymbol{V}_{0}} \quad \square \quad \frac{d^{2} \psi_{1}}{d x^{2}}+\frac{2 m E}{\hbar^{2}} \psi_{1}=0 \quad \Rightarrow \frac{d^{2} \psi_{1}}{d x^{2}}+\alpha^{2} \psi_{1}=0  \tag{1}\\
& \frac{d^{2} \psi_{2}}{d x^{2}}+\frac{2 m}{\hbar^{2}}\left(E-V_{0}\right) \psi_{2}=0 \quad \Rightarrow \frac{d^{2} \psi_{2}}{d x^{2}}-\beta^{2} \psi_{2}=0 \tag{2}
\end{align*}
$$

$$
\begin{aligned}
& \psi_{1}(x)=e^{i k x} u_{1}(x) \\
& \psi_{2}(x)=e^{i k x} u_{2}(x)
\end{aligned}
$$

$$
\alpha^{2}=\frac{2 m E}{\hbar^{2}}, \beta^{2}=\frac{2 m}{\hbar^{2}}\left(V_{o}-E\right)
$$

## Kronig-Penney Model

$$
\begin{align*}
& \frac{d^{2} \psi_{1}}{d x^{2}}+\alpha^{2} \psi_{1}=0  \tag{1}\\
& \psi_{1}(x)=e^{i k x} u_{1}(x) \\
& \frac{d \psi_{1}}{d x}=e^{i k x} \frac{d u_{1}}{d x}+i k e^{i k x} u_{1}  \tag{4}\\
& \frac{d^{2} \psi_{1}}{d x^{2}}=e^{i k x} \frac{d^{2} u_{1}}{d x^{2}}+i k e^{i k x} \frac{d u_{1}}{d x} \\
& \quad+e^{i k x} \frac{d u_{1}}{d x}-k^{2} e^{i k x} u_{1} \\
& \frac{d^{2} u_{1}}{d x^{2}}+2 i k \frac{d u_{1}}{d x}+\left(\alpha^{2}-k^{2}\right) u_{1}=0 \tag{3}
\end{align*}
$$

$$
\begin{aligned}
& \frac{d^{2} \psi_{2}}{d x^{2}}-\beta^{2} \psi_{2}=0 \\
& \psi_{2}(x)=e^{i k x} u_{2}(x) \\
& \frac{d^{2} u_{2}}{d x^{2}}+2 i k \frac{d u_{2}}{d x}-\left(\beta^{2}+k^{2}\right) u_{2}=0
\end{aligned}
$$

## Kronig-Penney Model

$$
\left.\begin{array}{cc}
\frac{d^{2} u_{1}}{d x^{2}}+2 i k \frac{d u_{1}}{d x}+\left(\alpha^{2}-k^{2}\right) u_{1}=0 & \ldots(3) \tag{4}
\end{array} \frac{d^{2} u_{2}}{d x^{2}}+2 i k \frac{d u_{2}}{d x}-\left(\beta^{2}+k^{2}\right) u_{2}=0\right)
$$

Boundary conditions:

$$
\begin{array}{cl}
u_{1}(0)=u_{2}(0) & u_{1}(a)=u_{2}(-b) \\
\left.\frac{d u_{1}}{d x}\right|_{x=0}=\left.\frac{d u_{2}}{d x}\right|_{x=0} & \left.\frac{d u_{1}}{d x}\right|_{x=a}=\left.\frac{d u_{2}}{d x}\right|_{x=-b}
\end{array}
$$



$$
\begin{equation*}
\frac{\beta^{2}-\alpha^{2}}{2 \alpha \beta} \sin h \beta b \sin \alpha a+\cos h \beta b \cos \alpha a=\cos k(a+b) \tag{5}
\end{equation*}
$$

## Kronig-Penney Model

$$
\begin{equation*}
\frac{\beta^{2}-\alpha^{2}}{2 \alpha \beta} \sin h \beta b \sin \alpha a+\cos h \beta b \cos \alpha a=\cos k(a+b) \tag{5}
\end{equation*}
$$

Consider $V_{0}$ very large but $b$ very small such that $V_{0} b$ is finite

$$
\left(\beta^{2}-\alpha^{2}\right)=\frac{2 m}{\hbar^{2}}\left(V_{o}-2 E\right) \approx \frac{2 m}{\hbar^{2}} V_{0}
$$

$$
\begin{gathered}
\alpha^{2}=\frac{2 m E}{\hbar^{2}} \\
\beta^{2}=\frac{2 m}{\hbar^{2}}\left(V_{o}-E\right)
\end{gathered}
$$

$\sinh \beta b \approx \beta b \quad \cosh \beta b \approx 1$

$$
\begin{aligned}
\frac{2 m V_{0}}{\hbar^{2}} \frac{\beta b}{2 \alpha \beta} \sin \alpha a+\cos \alpha a & =\cos k a \\
\frac{2 m V_{0} b a}{2 \hbar^{2}} \frac{\sin \alpha a}{\alpha a}+\cos \alpha a & =\cos k a \\
P \frac{\sin \alpha a}{\alpha a}+\cos \alpha a & =\cos k a \ldots(6)
\end{aligned}
$$

$$
P=\frac{m V_{0} b a}{\hbar^{2}}
$$

## Kronig-Penney Model



## Kronig-Penney Model

## Implications:

(a) The energy spectrum of the electrons consists of a number of allowed energy bands separated by forbidden regions

$$
\begin{equation*}
P \frac{\sin \alpha a}{\alpha a}+\cos \alpha a=\cos k a \tag{6}
\end{equation*}
$$


(b) The width of the allowed energy bands increases with increasing values of $\alpha a$, i.e., with increasing energy; this is a consequence of the fact- that the first term of (10-24) decreases on the average with increasing $\alpha a$

## Kronig-Penney Model

## Implications:

(c) The width of a particular allowed band decreases with increasing $P$, i.e., with increasing "binding energy" of the electrons. In the extreme case for which $P \rightarrow \infty$, the allowed regions become infinitely narrow and the energy spectrum becomes a line spectrum. In that case, (10-24) has only solutions if $\sin \alpha a=0$, i.e., if $\alpha a=\downarrow n \pi$ with $n=1,2,3, \ldots$ According to this and (10-16), the energy spectrum is then given by

$$
E_{n}=\frac{n^{2} \pi^{2} \hbar^{2}}{2 m a^{2}} \text { for } P \rightarrow \infty
$$



This recognizes as the energy levels of a particle in a constant potential box of atomic dimensions. Physically, this could be expected because for large $P$, tunneling through the barriers becomes improbable.

## Kronig-Penney Model

## Implications:

These conclusions are summarized in Fig. 10-3, where the energy spectrum is given as function of $P$. For $P-0$, we simply have the free electron model and the energy spectrum is (quast) continuous; for $P=\boldsymbol{\infty}$. a line spectrum results as discussed under (c) above. For a given value of $P$ the position and width of the allowed and forbidden bands are obtained by erecting a vertical line; the shaded areas correspond to allowed bands.

From (10-24) it is possible also to obtain the energy $\boldsymbol{E}$ as function of the wave number $k$; the result is represented in Fig. 10-4a. This leads us to the conclusion that


$$
P=\frac{m V_{0} b a}{\hbar^{2}}
$$

## Kronig-Penney Model

Implications:
(d) Discontinuities in the $E-k$ curve: $\quad k=\frac{n \pi}{a}, \quad n=1,2,3, \ldots$

These $k$-values define the boundaries of the first, second, etc. Brillouin zones. It must be noted that Fig. 10-4a gives only half of the complete $E(k)$ curve; thus the first zone extends from $-\pi / a$ to $+\pi / a$. Similarly, the second zone consists of two parts; one extending from $\pi / a$ to $2 \pi / a$, as show $n$, and another part extending between $-\pi / a$ and $-2 \pi / a$.

## Kronig-Penney Model

## Implications:

(e) Within a given energy band, the energy is a periodic function of $k$. For example, if one replaces $k$ by $k+2 \pi n / a$, where $n$ is an integer, cos $k a$ remains the same. In other words, $k$ is not uniquely determined. It is therefore frequently convenient to introduce the "reduced wave vector" which is limited to the region:

$$
-\frac{\pi}{a} \leq k \leq \frac{\pi}{a}
$$


(a) Repeated zone scheme



## Kronig-Penney Model

## Implications:

(f) Number of possible wave functions per band:

Boundary condition: Cyclic or periodic boundary conditions - same as in the theory of elastic waves in a chain of atoms: $\psi(x+L)=\psi(x)$

$$
\begin{gathered}
\Rightarrow e^{i k(x+L)} u_{k}(x+L)=e^{i k x} u_{k}(x) \\
\Rightarrow e^{i k L}=1=e^{i 2 n \pi} \Rightarrow k=2 n \pi / L, n= \pm 1, \pm 2, \pm 3, \ldots
\end{gathered}
$$

Number of possible wave functions in the range $d k: \quad d n=(L / 2 \pi) d k$

$$
n_{\max }=\int_{-\pi / a}^{\pi / a}(L / 2 \pi) d k=\frac{L}{a}=N
$$

## Kronig-Penney Model

## Implications:

(f) The total number of possible wave functions in any energy band is. equal to the number of unit cells $N$.

Now, as a result of the spin of the electrons and the Pauli exclusion principle each wave function can be "occupied" by at most two electrons. ${ }^{5}$ Thus each energy band provides place for a maximum number of electrons equal to twice the number of unit cells. In other words, if there are $2 N$ electrons in a band, the band is completely filled." This conclusion, as we shall see below, has far-reaching consequences for the distinction between metals, insulators, and semiconductors.

## Motion of Electrons

## Velocity:

$$
v=\frac{d \omega}{d k}=\frac{1}{\hbar} \frac{d E}{d k}
$$

This in itself shows the importance of the $E$ versus $k$ curves. In the case of free electrons $E=\hbar^{2} h^{2} / 2 m$, and (10-33) simply leads to the identity $r-\hbar k / m=p / m$. In the band theory, however, $E$ is in general not proportional to $\boldsymbol{k}^{2}$, as may be seen from Fig. 10-4. Employing an $E(k)$ curve such as represented in Fig. 10-5a, one obtains, according to (10-33) for the velocity as function of $k$, a curve of the type illustrated in Fig. 10-5b. (Note that for free electrons $v$ is proportional to $k$.) At the top and bottom of the energy band $r=0$, because from the periodicity of the $E(k)$ curves it follows that there $d E / d k=0$. The absolute value of the velocity reaches a maximum for $k=k_{0}$, where $k_{0}$ corresponds to the inflection point of the $E(k)$ curve. It is of importance to note that beyond this point the velocity decreases with increasing energy, a feature which is altogether different from the behavior of free electrons.


## Motion of Electrons

## Effective Mass:

$$
\begin{aligned}
& d E=(e F)(v d t)=(e F)\left(\frac{1}{\hbar} \frac{d E}{d k}\right) d t \\
& \Rightarrow \frac{d E}{d k} d k=\frac{e F}{\hbar} \frac{d E}{d k} d t \\
& \Rightarrow \frac{d k}{d t}=\frac{e F}{\hbar} \\
& a= \frac{d v}{d t}=\frac{1}{\hbar} \frac{d}{d t}\left(\frac{d E}{d k}\right)=\frac{1}{\hbar} \frac{d^{2} E}{d k^{2}} \frac{d k}{d t}=\frac{e F}{\hbar^{2}} \frac{d^{2} E}{d k^{2}} \equiv \frac{e F}{m^{*}} \\
& m^{*}=\hbar^{2} /\left(\frac{d^{2} E}{d k^{2}}\right) \quad \\
& f_{k}=\frac{m}{m^{*}}=\frac{m}{\hbar^{2}}\left(\frac{d^{2} E}{d k^{2}}\right)
\end{aligned}
$$



## Motion of Electrons

## Effective Mass:

$$
m^{*}=\hbar^{2} /\left(\frac{d^{2} E}{d k^{2}}\right)
$$

Thus the effective mass is determined by $d^{2} E / d k^{2}$; this result indicates once more the importance of the $E(k)$ curves for the motion of the electrons. In Fig. 10-5c the effective mass is represented as a function of $k \geqslant$ this curve shows the interesting feature that $m^{*}$ is positive in the lower half of the energy band and negative in the upper half. At the inflection points in the $E(k)$ curves, $m^{*}$ becomes infinite. Physically speaking, this means that in the upper half of the band the electron behaves as a positively charged particle?(as will be explained further in Sec. 10-6. One arrives at the same conclusion by considering the $t(k)$ curve and making use of (10-35). Suppose an electron starts at $k==0$; when an electric field is applied, the wave vector increases linearly with time. Until the velocity reaches its maximum value, the electron is accelerated by the field; beyond the maximum, however, the same field produces a decrease in $D$, i.e., the mass must become negative in the upper part of the band.


## Effective Mass:

/ It is frequently convenient to introduce a factor

$$
\begin{equation*}
f_{k}=m / m^{*}=\left(m / h^{2}\right)\left(d^{2} E / d k^{2}\right) \tag{10-39}
\end{equation*}
$$

where $f_{k}$ is a measure for the extent to which an electron in state $k$ is "free." If $m^{*}$ is large, $f_{k}$ is small, i.e., the particle behaves as a "heavy" particle. When $f_{k}=1$, the electron behaves as a free electron, Note that $f_{k}$ is positive in the lower half of the band and negative in the upper half, as shown in Fig. 10-5d.

$$
f_{k}=\frac{m}{m^{*}}=\frac{m}{\hbar^{2}}\left(\frac{d^{2} E}{d k^{2}}\right)
$$



## Motion of Electrons

## Effective Mass:

It may be mentioned here that when the above treatment is extended to three dimensions, the effective mass may be represented by

$$
1 / m^{*}=\left(1 / \hbar^{2}\right) \operatorname{grad}_{k} \operatorname{grad}_{k} E(k)
$$

$$
m^{*}=\hbar^{2} /\left(\frac{d^{2} E}{d k^{2}}\right)
$$

where $\operatorname{grad}_{k} \operatorname{grad}_{k} E(k)$ is a tensor with nine components of the general form $\partial^{2} E / \partial k, \partial k$, with $i, j=x, y, z .{ }^{9}$

$$
\left(m^{*}\right)_{i j}=\hbar^{2}\left(\frac{\partial^{2} E}{\partial k_{i} \partial k_{j}}\right)^{-1} \quad(i, j \in x, y, z)
$$

## Motion of Electrons

## Effective Mass:

Problem: The dispersion relation of electrons in a 3 d lattice is given by $E_{k}=\alpha \cos k_{x} a+$ $\beta \cos k_{y} a+\gamma \cos k_{z} a$, where $a$ is the lattice constant and $\alpha, \beta, \gamma$ are constants. Find the effective mass of tensor at the corner of the first Brillouin zone $(\pi / a, \pi / a, \pi / a)$. [CU - 2016]

$$
\begin{aligned}
& \left(m^{*}\right)_{i j}=\hbar^{2}\left(\frac{\partial^{2} E}{\partial k_{i} \partial k_{j}}\right)^{-1}(i, j \in x, y, z) \\
& \left(m^{*}\right)_{x x}=\left.\hbar^{2}\left(\frac{\partial^{2} E}{\partial k_{x}^{2}}\right)^{-1}\right|_{k_{x}=k_{y}=k_{z}=\pi / a}=\left.\hbar^{2}\left(-\alpha a^{2} \cos k_{x} a\right)^{-1}\right|_{k_{x}=\pi / a}=\frac{\hbar^{2}}{\alpha a^{2}} \\
& \left(m^{*}\right)_{y y}=\left.\hbar^{2}\left(\frac{\partial^{2} E}{\partial k_{y}^{2}}\right)^{-1}\right|_{k_{x}=k_{y}=k_{z}=\pi / a}=\left.\hbar^{2}\left(-\beta a^{2} \cos k_{y} a\right)^{-1}\right|_{k_{x}=\pi / a}=\frac{\hbar^{2}}{\beta a^{2}} \\
& \left(m^{*}\right)_{z z}=\left.\hbar^{2}\left(\frac{\partial^{2} E}{\partial k_{z}^{2}}\right)^{-1}\right|_{k_{x}=k_{y}=k_{z}=\pi / a}=\left.\hbar^{2}\left(-\gamma a^{2} \cos k_{y} a\right)^{-1}\right|_{k_{x}=\pi / a}=\frac{\hbar^{2}}{\gamma a^{2}}
\end{aligned}
$$

## Motion of Electrons

## Effective Mass:

$$
\begin{aligned}
& \left(m^{*}\right)_{i j}=\hbar^{2}\left(\frac{\partial^{2} E}{\partial k_{i} \partial k_{j}}\right)^{-1}(i, j \in x, y, z) \\
& \left(m^{*}\right)_{x y}=\left.\hbar^{2}\left(\frac{\partial^{2} E}{\partial k_{x} \partial k_{y}}\right)^{-1}\right|_{k_{x}=k_{y}=k_{z}=\pi / a}=\left.\hbar^{2}\left\{\frac{\partial}{\partial k_{x}}\left(-\beta a \sin k_{y} a\right)\right\}^{-1}\right|_{k_{x}=k_{y}=k_{z}=\pi / a}=\infty
\end{aligned}
$$

Similarly, $\quad\left(m^{*}\right)_{z x}=\left(m^{*}\right)_{x z}=\left(m^{*}\right)_{z y}=\left(m^{*}\right)_{y z}=\left(m^{*}\right)_{y x}=\infty$

$$
m^{*}=\frac{\hbar^{2}}{a^{2}}\left(\begin{array}{ccc}
1 / \alpha & \infty & \infty \\
\infty & 1 / \beta & \infty \\
\infty & \infty & 1 / \gamma
\end{array}\right) \quad \text { Or, } \quad \frac{1}{m^{*}}=\frac{a^{2}}{\hbar^{2}}\left(\begin{array}{ccc}
\alpha & 0 & 0 \\
0 & \beta & 0 \\
0 & 0 & \gamma
\end{array}\right)
$$

## Motion of Electrons

Problem: Consider the dispersion relation of tightly bound electrons in a linear lattice with atomic separation $a$ as $E=E_{0}-\alpha-2 \gamma \cos k a$ ( $\alpha, E_{0}, \gamma$ are constants). Obtain an expression of the reciprocal of effective mass $\left(m^{*}\right)$ as a function of $E$. Sketch $1 / m^{*}$ as a function of $E$. Also, find the maximum velocity of the electrons. [CU - 2015]

$$
\begin{gathered}
E=E_{0}-\alpha-2 \gamma \cos k a \\
\Rightarrow \frac{1}{m^{*}}=\frac{1}{\hbar^{2}} \frac{d^{2} E}{d k^{2}}=\frac{1}{\hbar^{2}}(-2 \gamma)(-a) a \cos k a=\frac{2 \gamma a^{2}}{\hbar^{2}} \cos k a=\frac{a^{2}}{\hbar^{2}}\left(E_{0}-\alpha-E\right) \\
v=\frac{1}{\hbar} \frac{d E}{d k}=\frac{1}{\hbar}(2 \gamma a \sin k a) \Rightarrow v_{\max }=\frac{2 \gamma a}{\hbar} \\
E_{\max }=\left.E\right|_{k=-\pi / a}=E_{0}-\alpha+2 \gamma \quad E_{\min }=\left.E\right|_{k=0}=E_{0}-\alpha-2 \gamma
\end{gathered}
$$

$$
\text { Bandwidth: } E_{\max }-E_{\max }=4 \gamma
$$

## Conductor, Semiconductor \& Insulator

$\square$ Band theory of solids leads to the possibility of distinguishing between conductors, semiconductors and insulators.

Consider a particular energy band to be filled up with electrons up to a certain value $k_{1}$.
In order to study the effect of an external electric field, we need to know how many electrons are equivalent to 'free electrons' in the band containing certain number (say, N ) of electrons.

The answer to this point, presumably, leads to draw a conclusion on the conductivity associated to this particular energy band.


## Conductor, Semiconductor \& Insulator

The effective number of free electrons in the energy band $N_{\text {eff }}=\Sigma f_{k}$
For a 1D lattice of length $L$, the number of states (excluding spin) within the interval $d k$ is $L d k / 2 \pi$

$$
N_{e f f}=2 \times\left(\frac{L}{2 \pi}\right) \int_{-k_{1}}^{k_{1}} f_{k} d k=\left(\frac{L}{\pi}\right) \times 2\left(\frac{m}{\hbar^{2}}\right) \int_{0}^{k_{1}} \frac{d^{2} E}{d k^{2}} d k=\left(\frac{2 L m}{\pi \hbar^{2}}\right)\left(\frac{d E}{d k}\right)_{k_{1}}
$$

$$
\because f_{k}=\frac{m}{m^{*}}=\frac{m}{\hbar^{2}}\left(\frac{d^{2} E}{d k^{2}}\right)
$$

$\square N_{e f f}$ in a completely filled band vanishes because $(d E / d k)_{k_{1}=\pi / a}=0$
$\square N_{\text {eff }}$ reaches a maximum for a band filled to the inflection point of the $E-k$ curve as $d E / d k$ is maximum at the inflection point.

## Conductor, Semiconductor \& Insulator

$\square$ Thus, a solid having certain energy bands completely filled and other bands completely empty, behaves as an insulator.
$\square$ On the other hand, a solid containing an incompletely filled energy band shows metallic/conductor character.


Insulator


Semiconductor
(c) Dr. P. Mandal

## Conductor, Semiconductor \& Insulator

Fig. 10-7a can occur actually only at absolute zero, when the crystal is in its lowest energy state. At temperacures different from zero, some electrons from the upper filled band will be excited into the next emply band ("conduction band") and conduction becomes possible. If the forbidden energy gap is of the order of several electron volts. however, the solid will remain an "insulator" for all practical purposes. (An example is diamond, for which the forbidden gap is about 7 ev .) For a small gap width, say about 1 ev , the number of thermally excited electrons may become appreciable and in this case one speaks of an intrinsic semiconductor. Examples are germanium and silicon. It is evident that the distinction between insulators and intrinsic semiconductors is only a quantitative one. In fact, all intrinsic semiconductors are insulators at $T=0$, whereas all insulators may be considered semiconductors at $T>0$. It may be noted here that the conductivity of semiconductors in general increases with increasing temperature, whereas the conductivity of metals decreases

## Concept of Hole

In an intrinsic semiconductor a certain number of electrons are thermally exited from the upper filled band into the conduction band at temperature above 0 K , leaving some of the states in the normally filled band vacant. These unoccupied states lie near the top of the filled band.
$\square$ Consider a single unoccupied state - the 'hole', in the filled band of a 1D lattice and consider its influence on the collective behaviour of this band in presence of an external electric field.

In absence of external electric field, the current due to all the electrons in a completely filled band

$$
I=-e \sum_{i} \vec{v}_{i}=-e\left[\vec{v}_{j}+\sum_{i \neq j} \vec{v}_{i}\right]=0
$$

## Concept of Hole

If the jth electron were missing

$$
I^{\prime}=-e \sum_{i \neq j} \vec{v}_{i}=e \vec{v}_{j}
$$

In presence of an external electric field $\vec{F}$, the rate of change of the current $I^{\prime}$ is

$$
\frac{d I^{\prime}}{d t}=e \frac{d \vec{v}_{j}}{d t}=-\frac{e^{2} \vec{F}^{2}}{m_{j}^{*}}
$$

$$
\because \frac{d v}{d t}=\frac{e F}{m^{*}}
$$

As the vacant state ('hole') lies near the top of the band, the effective mass $m_{j}^{*}$ is negative which makes $d I^{\prime} / d t$ positive. In other words, a state in which an electron is missing behaves as a 'positive hole' with an effective mass $\left|m_{j}^{*}\right|$.

## Hall Effect

$\square$ The Hall effect is the production of a voltage difference (the Hall voltage $V_{H}$ ) across a conductor or semiconductor, transverse to an electric current $\left(J_{x}\right)$ in the conductor/semiconductor and to an applied magnetic field $\left(B_{z}\right)$ perpendicular to the current.
$\square$ Discovered by Edwin Hall in 1879.
$\square$ The Hall coefficient is defined as the ratio of the induced electric field to the product of the current density and the applied magnetic field.

$$
R_{H}=\frac{E_{y}}{J_{x} B_{z}}
$$

R $R_{H}$ is the characteristic of the material from which the conductor/semiconductor is made, since its value depends on the type, number, and properties of the charge carriers that constitute the current.

## Hall Effect

## Metals:



Hall Coefficient and Mobility:

$$
v=\mu E \quad E_{H}=-v B=-\mu E B
$$

$$
\vec{E}=E \hat{x} \Rightarrow \vec{v}=-v \hat{x}
$$

$$
\vec{B}=B \hat{z}, q=-e
$$

$$
\vec{F}_{L}=q(\vec{v} \times \vec{B})=e v B(\hat{x} \times \hat{z})=-e v B \hat{y}
$$

$$
\vec{F}_{H}=-e \vec{E}_{H}=-\vec{F}_{L}=e v B \hat{y} \Rightarrow \vec{E}_{H}=-v B \hat{y}
$$

$$
\vec{J}=-n e \vec{v}=n e v \hat{x}
$$

$$
R_{H}=\frac{E_{y}}{J_{x} B_{z}}=\frac{-v B}{(n e v) B}=-\frac{1}{n e}
$$

$$
\begin{gathered}
E_{H}=\mu E B=R_{H} J_{x} B_{z} \\
\Rightarrow \mu E B=R_{H}(\sigma E) B \\
\Rightarrow \mu=\sigma R_{H}
\end{gathered}
$$

## Hall Effect

Hall Coefficient - Experimental Determination:


$$
\begin{aligned}
& E_{H}=R_{H} J_{x} B_{z} \\
& J_{x}=\frac{I}{b d}, E_{H}=\frac{V_{H}}{d} \\
& R_{H}=\frac{E_{H}}{J_{x} B_{z}}=\frac{\left(\frac{V_{H}}{d}\right)}{B\left(\frac{I}{b d}\right)}=\frac{V_{H} b}{I B}
\end{aligned}
$$

## Hall Effect

## Semiconductors:

Since for $n$-type semiconductor the carriers are negatively charged electrons,

$$
\begin{equation*}
\therefore R_{H}=-\frac{1}{n e} \text {. } \tag{9.83}
\end{equation*}
$$

For $p$-type semiconductor the carriers are positively charged.

$$
\begin{equation*}
\therefore R_{H}=\frac{1}{p e}, \tag{9.84}
\end{equation*}
$$

where $p$ is the density of holes. Eqns (9.83) and (9.84) show that the Hall coefficient $R_{H}$ are of opposite sign for $n$-type and $p$-type semiconductors.
When the contribution of both the electrons and holes to the current are appreciable, Hall coefficien may be proved to be

$$
\begin{equation*}
R_{H}=\frac{p \mu_{h}^{2}-n \mu_{n}^{2}}{e\left(n \mu_{e}+p \mu_{h}\right)}, \tag{9.85}
\end{equation*}
$$

since $\sigma=n e \mu_{e}+p e \mu_{h}$ and $\mu_{e}, \mu_{h}$ are the mobilities of electrons and holes respectively.

## Hall Effect

Semiconductors:
To prove eqn (9.85), the electron and hole current densities along $x$-direction can be expressed as

$$
\left.\begin{array}{l}
J_{x e}=n e \mu_{e} E_{x} \\
J_{x h}=n e \mu_{h} E_{x}
\end{array}\right\}
$$

since the magnitude of charge of both electron and hole is $e$. Then the total current density $J_{x}$ is

$$
J_{x}=J_{x e}+J_{x h}=e\left(n \mu_{e}+p \mu_{h}\right) E_{x},
$$

the change in $\mu_{e}$ and $\mu_{h}$ due to magnet resistance has been neglected.
Now the Lorentz force acting on the electron along the $y$-direction is

$$
\begin{equation*}
\vec{F}=e\left(\vec{v}_{e} \times \vec{B}\right)=e v_{e} B_{z} \hat{j}, \tag{9.88}
\end{equation*}
$$

where $v_{e}$ is the electron drift velocity along the $y$-direction. This Lorentz force $\vec{F}$ is equivalent to an electric field $-v_{e} B_{z}$.

## Hall Effect

## Semiconductors:

Since, $v_{e}=-\mu_{e} E_{x}$, the above electric field is equal to $B_{z} \mu_{e} E_{x}$.
$\therefore$ from eqn (9.86),

$$
\begin{equation*}
J_{y e}=n e \mu_{e}\left(B_{z} \mu_{e} E_{x}\right)=n e \mu_{e}^{2} B_{z} E_{x} \tag{9.89}
\end{equation*}
$$

ind hole current density $J_{y h}$ along $Y$-direction is

$$
\begin{equation*}
J_{y^{h}}=p e \mu_{p}^{2} B_{z} E_{x} . \tag{9.90}
\end{equation*}
$$

So, the total current density along $Y$-direction is

$$
\begin{equation*}
J_{y}=J_{y e}+J_{y h}=e\left(n \mu_{e}^{2}-p \mu_{h}^{2}\right) B_{z} E_{x} . \tag{9.91}
\end{equation*}
$$

To make the net current density $J_{y}$ zero a Hall field $E_{y}$ is required.

$$
\begin{gather*}
\therefore \frac{E_{y}}{E_{x}}=\frac{J_{y}}{J_{x}} \text { or, } J_{x} E_{y}=J_{y} E_{x} \text { or, } e\left(n \mu_{e}+p \mu_{h}\right) E_{y}=-e\left(n \mu_{e}^{2}-p \mu_{h}^{2}\right) B_{z} E_{x} \\
\text { or, } E_{y}=\frac{p \mu_{h}^{2}-n \mu_{e}^{2}}{n \mu_{e}+p \mu_{h}} B_{z} E_{x} .
\end{gather*}
$$

The Hall coefficient $R_{H}$ is given by

$$
R_{H}=\frac{E_{y}}{B_{z} J_{x}}=\frac{p \mu_{h}^{2}-n \mu_{e}^{2}}{e\left(n \mu_{e}+p \mu_{h}\right)^{2}} .
$$

## Hall Effect

## Applications:

1. Determination of the nature of the semiconductor-If the current carriers are negatively charged electrons, the measured value of $R_{H}$ is negative which indicates that the semiconductor is an $n$-type one. If, on the otiaer hand, $R_{H}$ is positive the semiconductor is of $p$-type having holes as majority carriers.
2. Determination of carrier concentration-The concentration of the holes and the electrons can be obtained from either (1.16.5) or (1.16.6), provided we measure the Hall coefficient $R_{H}$ experimentally.
3. Determination of carrier mobility-If $\rho$ be the charge density of a semiconductor, then the mobility $\mu$ and the conductivity $\sigma$ are related by the equation

$$
\sigma=\rho \mu
$$

The relation between $R_{H}$ and $\mu$ is given by

$$
\mu=\sigma R_{H}
$$

So, by measuring $\sigma$ and $R_{H}$, the mobility $\mu$ can be readily determined.

- The mobility determined by $R_{H}$ is somewhat different from the actual drift mobility and is known as Hall mobility.

4. Determination of magnetic field-From (1.16.13), we have the following expression for the magnetic field $B$.

$$
B=\frac{V_{H} b}{I R_{H}},
$$

